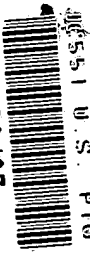


A/REISSUE

10/29/97



CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail No. EM 339 509 336 US

I hereby certify that this Reissue Application Transmittal Letter and the documents referred to as enclosed therein are, pursuant to 37 C.F.R. §1.10, being deposited as "Express Mail" this date with the United States Postal Service in an envelope addressed to Assistant Commissioner for Patents, Washington D.C. 20231.

Date: October 29, 1997

By: Margaret E. Adams
Signature of person depositing Express Mail

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

PATENT

REISSUE APPLICATION TRANSMITTAL LETTER

Docket No.: 30598.0004

Applicant(s): Misuo Sugiyama; Hatsuyuki Arai

Reissue of
Patent No. 5,605,499

Application No.: 08/421,706

Issued: February 25, 1997

Filed: April 13, 1995

TITLE: FLATTENING METHOD AND FLATTENING
APPARATUS OF A SEMICONDUCTOR
DEVICE

Examiner: Andrew Weinberg

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an application for reissue of United States patent 5,605,499 of Misuo Sugiyama and Hatsuyuki Arai, issued on February 25, 1997, for a "Flattening Method and Flattening Apparatus of a Semiconductor Device".

Enclosed are the following:

- ☐ Assignment to _____, with extra copy of this letter
- ☐ Verified Statement(s) establishing "small entity" status
- ☒ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ PTO Form No. 1449 and copies of cited documents
- ☒ Other:
 - * Reissue Declaration of Misuo Sugiyama (37 CFR 1.175)
 - * Reissue Declaration of Hatsuyuki Arai (37 CFR 1.175)
 - * Reissue Declaration of Stewart Womack (37 CFR 1.175)
 - * Reissue Declaration of Troy Schmelzer (37 CFR 1.175)
 - * Offer of Misuo Sugiyama to Surrender Original Letters Patent (37 CFR 1.178)
 - * Offer of Hatsuyuki Arai to Surrender Original Letters Patent (37 CFR 1.178)
 - * Written Assent to Application for Reissue and Offer to Surrender Original

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Letters Patent (37 CFR 1.172)

* Request for Transfer of Patent Drawings to Reissue Application (37 CFR 1.174(a))

* Order for Title Report (37 CFR 1.171)

* Cut-Up Copies of Original Patent Specification and Claims (MPEP 1411)

FEE CALCULATION

Reissue Filing Fee = \$ 790.00

Reissue claims in excess of 20 and over original patent 21 minus 21 = 0 x \$ 22.00 = 0.00

Reissue independent claims over original patent 4 minus 4 = 0 x \$ 80.00 = 0.00

Multiple dependent claims, if any add: \$260.00 + N/A

Subtotal: 790.00

Fee Calculation: Request for Extension of Time in prior application pursuant to 37 C.F.R. §1.136(a):

[] Response filed within **first** month after due date - add \$ 110.00 0.00

[] Response filed within **second** month after due date - add \$ 390.00 0.00

[] Response filed within **third** month after due date - add \$ 930.00 0.00

[] Response filed within **fourth** month after due date - add \$1470.00 0.00

Subtotal: 790.00

If "small entity", subtract half (1/2) of Subtotal 0.00

TOTAL FILING FEE: 790.00

If "assignment" box is checked, add recording fee (\$40.00) 0.00

Other fee: Title Report Order 25.00

TOTAL FEES DUE: 815.00

[X] Fee Enclosed [] Charge Deposit Account No. 19-2814.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any other fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and

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which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting official document under 37 C.F.R. §1.20, or credit any overpayment to **Account No. 19-2814 for which purpose a duplicate copy of this sheet is attached.**

Please address all future correspondence to:


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Phoenix, Arizona 85004-0001

(602) 382-6291



Troy M. Schmelzer
Registration No. 36,667

266207 "TECH09680

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

PATENT

Applicant(s): Misuo Sugiyama; Hatsuyuki Arai

Docket No.: 30598.0004

Reissue of 5,605,499
Patent No.:

Application No.: 08/421,706

Issued: February 25, 1997

Filed: April 13, 1995

Title: FLATTENING METHOD AND
FLATTENING APPARATUS OF A
SEMICONDUCTOR DEVICE

Examiner: Andrew Weinberg

**WRITTEN ASSENT TO APPLICATION FOR REISSUE AND
OFFER TO SURRENDER ORIGINAL LETTERS PATENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire right, title and interest in U.S. patent no. 5,605,499, issued on February 25, 1997, hereby assents to the application for reissue of said patent filed herewith.

The undersigned is the owner of the entire right, title and interest in said patent by virtue of assignment from the inventors, MISUO SUGIYAMA and HATSUYUKI ARAI, to SPEEDFAM COMPANY LIMITED, as shown on the title report for which an order for a certified copy accompanies this application.


266201-1099

The undersigned hereby offers to surrender said original Letters patent.

Executed at Ayase-shi, Kanagawa-ken, JAPAN, on October 6, 1997,
1997, by its duly authorized officer.

SPEEDFAM COMPANY LIMITED

By:


Makoto Kouzuma, Vice President

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